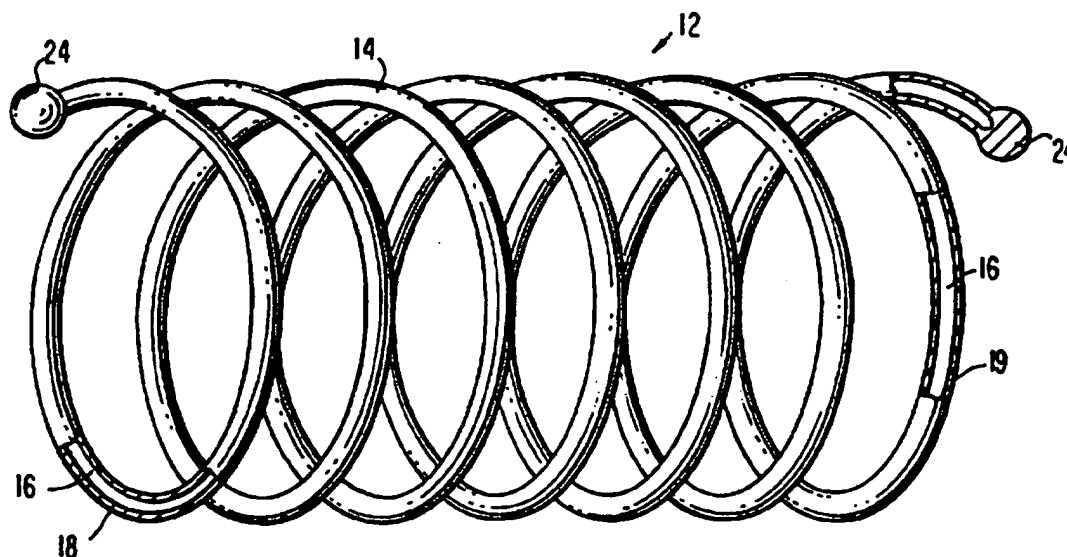




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61B 29/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 96/26682</b>
			(43) International Publication Date: 6 September 1996 (06.09.96)
(21) International Application Number: <b>PCT/US96/02262</b>		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 27 February 1996 (27.02.96)			
(30) Priority Data: 08/394,799 27 February 1995 (27.02.95) US		Published With international search report.	
(71) Applicant: INSTENT, INC. [US/US]; 6271 Bury Drive, Eden Prairie, MN 55346 (US).			
(72) Inventors: GLOBERMAN, Oren; Yericho 14, 58543 Holon (IL). BEYAR, Mordechai; 196 C Arlozorov Street, 64923 Tel Aviv (IL). BEYAR, Rafael; 8 Watson Street, 34751 Haifa (IL).			
(74) Agents: DIPPert, William, H. et al.; Cowan, Liebowitz & Latman, P.C., 1133 Avenue of the Americas, New York, NY 10036-6799 (US).			

(54) Title: HOLLOW STENT



## (57) Abstract

The invention concerns an implantable medical device comprised of tubular wire (14). In a preferred embodiment the invention comprises a stent or graft (12) formed from spirally wound, tubular wire (14), where the stent or graft is capable of being stressed prior to implantation to reduce the radius of curvature (5) of the wire to put the stent or graft (12) in a restrained condition.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TC	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## HOLLOW STENT

### FIELD OF THE INVENTION

This invention is directed to a novel stent. More particularly, this invention is directed to a spiral, wound stent wherein the stent is comprised of a tubular  
5 material.

### BACKGROUND OF THE INVENTION

The use of implantable medical devices such as stents, grafts, or filters, is well known. While the  
10 shape or configuration of such devices may vary, they are typically comprised of solid wire.

For a more detailed description of the devices used in such structures, reference is made to: Simon, U.S. Pat. No. 4,425,908; Gianturco, U.S. Pat. No. 4,494,531;  
15 Dotter, U.S. Pat. No. 4,503,569; Balko et al., U.S. Pat. No. 4,512,338; Wallsten, U.S. Pat. No. 4,655,771; Fischell et al., U.S. Pat. No. 4,768,507; Kropf, U.S. Pat. No. 4,771,773; Regan, U.S. Pat. No. 4,795,458; Anderson et al., U.S. Pat. No. 4,813,925; Lindemann et  
20 al., U.S. Pat. No. 4,878,906; Hillstead, U.S. Pat. No. 4,913,141; Wilkoff, U.S. Pat. No. 4,990,155; Giantuneo et al., U.S. Pat. No. 5,035,706; Termin et al., U.S. Pat. No. 5,071,407; Burton et al., U.S. Pat. No. 5,078,720; Lee, U.S. Pat. No. 5,123,917; Hillstead, U.S. Pat. No.  
25 5,135,536; McNamene et al., U.S. Pat. No. 5,147,370; Brenneman et al., U.S. Pat. No. 5,160,341; Tower, U.S. Pat. No. 5,161,547; Vince, U.S. Pat. No. 5,163,953; Pinchuk, U.S. Pat. No. 5,163,958; Heyn et al., U.S. Pat.

No. 5,201,757; Willard et al., U.S. Pat. No. 5,222,971; Morgentalen, U.S. Pat. No. 5,224,953; Pinchuk, U.S. Pat. No. 5,226,913; Lau et al., U.S. Pat. No. 5,242,399; Harada et al., U.S. Pat. No. 5,242,451; Inoue, U.S. Pat. No. 5,242,452; Garrison et al., U.S. Pat. No. 5,263,963; Schwertz et al., U.S. Pat. No. 5,282,823; Gianturco, U.S. Pat. No. 5,282,824; Boneau, U.S. Pat. No. 5,292,331; Stack et al., U.S. Pat. No. 5,306,286; Song, U.S. Pat. No. 5,330,500; Sawyer, U.S. Pat. No. 5,344,425; Schnepf-Pesch et al., U.S. Pat. No. 5,254,309; Gernic et al., U.S. Pat. No. 5,354,310; Beyar et al., U.S. Pat. No. 5,372,600; and Medinol Ltd., PCT WO 94/20044, all of which are incorporated herein by reference.

In many of the products described above, the elastic property of the wire was used, by creating stress in the wire. The purpose for creating the stress was to reduce the stent's diameter and restrain the stent, before insertion of the device into a patient's body, whereupon, after the stent was inserted and the restraining means was removed, the stent expands and the stress releases.

When a solid wire is bent, for example, to reduce a device's external diameter, this causes tension and compression stresses in the wire. Because of the high deformation needed in some of the devices described in the references above, to reach minimal insertion profile, material having extremely high recoverable strain has been used. Examples of such material include Ni-Ti alloy known as nitinol. However, with the nitinol wire currently used there are limits as to how far the diameter of a stent can be decreased while staying within the same range of recoverable strain of the material.

### OBJECTS OF THIS INVENTION

It is an object of this invention to provide a novel stent.

It is also an object of this invention to provide a stent, graft, or filter comprised of material having desirable properties.

It is a further object of the inventor to provide a stent which can be constrained on a delivery catheter in an especially small diameter where the device is all in the same range of the recoverable strain of the material.

These and other objects of the invention will become more apparent from the discussion below.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a partial, longitudinal cross-sectional view of an embodiment of the invention in unstressed condition;

Fig. 2 is a cross-section of the segment in Fig. 1;

Fig. 3 is a partial, longitudinal cross-sectional view of an embodiment of the invention under stress to reduce the diameter;

Fig. 4 is a cross-section of the segment in Fig. 3;

Fig. 5 is a perspective view, with partial sectional areas, of an embodiment of the invention; and

Fig. 6 is a partial cross-sectional view of an embodiment of the invention loaded on a delivery catheter.

DETAILED DESCRIPTION OF THE INVENTION

Applicants have found that implantable medical devices such as stents, grafts, or filters, have improved properties if the wire from which such devices are made is hollow, i.e., tubular, rather than solid. When tubular wires with relatively thin walls are bent, they prove to be much more flexible during bending than would be expected based upon the behavior of solid wire. When solid wire is bent, the shape of the cross-section remains substantially unchanged, which means that fibers or elements of the wire are unevenly stressed. For example, elements of the wire opposite to the curvature would be strained, whereas elements on the radius of the curvature would be compressed. However, when tubular wire with a thin wall tube and having a circular cross-section is bent, the cross-section shape changes and the circular shape flattens and becomes elliptical, to apportion strain. It may, therefore, be concluded that the elements of the tube opposite to the natural axis are not strained in the manner as would be indicated for the ordinary bending of wire.

These are two main advantages of using tubular wire instead of solid wire:

1. With the same elasticity limit of the raw material, we can increase the relation between the radius of curvature before and after the bendings (before and after insertion into the body); and
2. The fact that the tube shape changes to elliptical after bending, helps to reduce the insertion diameter of the device.

The use of tubular members in medical devices is known. U.S. Pat. No. 4,813,925 describes a spiral ureteral stent with a lumen extending along the entire

length of the stent. The stent may be straightened by means of a wire stylet inserted into the lumen, to facilitate placement of the stent in the ureter. This means that to insert the device into the body, the radius  
5 of curvature of segments of the device is increased. In contrast, in the present invention, to insert the device into the body the radius of curvature is decreased without inserting any device into the inner lumen.

U.S. Pat. No. 5,234,456 describes a hydrophilic  
10 stent having a permeable or semi-permeable hollow wall with hydrophilic material disposed therein. The hydrophilic material swells upon introduction of a liquid into the hollow wall, to thereby achieve inflation of the stent. This use of a hollow wall is totally different  
15 from the present invention, as there is no stress in the stent wall due to being constrained and there is no reduction of stress in the stent wall during opening of the stent.

The theory of bending curved tubes is well known in  
20 the scientific literature. See, for example, "Strength of Material" Part I, by S. Timoshenko, Third Edition, pages 405-416, incorporated herein by reference.

In discussing the distribution of bending stresses in curved bars, it was assumed that the shape of the  
25 cross-section remains unchanged. Such an assumption is justifiable so long as the bar is solid, because the very small displacements in the plane of the cross-section due to lateral constriction and expansion have no substantial effect on the stress distribution. The condition is very  
30 different, however, in the case of a thin curved tube in bending, as discussed above. It is well known that curved tubes with comparatively thin walls prove to be more flexible during bending than would be expected from the usual theory of curved bars.

The invention can perhaps be better appreciated by reference to the drawings. A segment 1 of a tubular wire useful according to the invention is shown in Figs. 1 and 2. The segment has concave 3 and convex 4 surfaces that are unstressed. The radius of curvature 5 extends to the middle 7 of lumen 8. Segment 1 has a circular cross-section, as shown in Fig. 2.

Figs. 3 and 4 represent segment 1 under stress. Tensile forces at the convex surface 4 and compression forces at the concave surface 3, act upon the cross-sectional shape of segment 1. The result is that the radius of curvature 9 is smaller than radius of curvature 5, and the previously circular cross-section becomes elliptical, as shown in Fig. 4. This flattening of the cross-section affects the strain of the longitudinal elements of the tubular wire.

A very small flattening of the cross-section, produces a substantial decrease in the stresses at the outermost elements, as compared to the tensile stresses of a comparable solid wire. It may therefore be concluded that the elements of the tubular wire which are opposite to the tube axis, do not stress as would be expected according to the ordinary theory of bending of solid wire. This theory also is valid in the case of a tube of rectangular cross-section.

Fig. 5 is a perspective view of an embodiment of the invention in unstressed condition. The stent 12 comprises a spiral coil 14 of hollow, tubular material. The inner lumen 16 and coil 14 can be seen in partial cross-sectional areas 18 and 19.

When stent 12 is stressed to reduce its diameter, it can be positioned in stressed form on a catheter 20. The tubular material will assume an elliptical cross-section 22, as shown in Fig. 6. The balls 24 of stent 12 are



restrained, for example, on the outer surface of catheter  
20 (not shown). Particulars regarding such restraining  
systems and the delivery of stents can be seen, for  
example, in U.S. Patents Nos. 5,246,445 and 5,372,600,  
5 both of which are incorporated herein by reference.

The tubular material useful according to the  
invention can comprise any suitable physiologically  
acceptable metallic material, such as stainless steel or  
an alloy. Especially useful are shape memory alloys,  
10 particularly nickel-titanium alloys known as nitinol.  
Also, the lumen of the tubular material may contain  
radiopaque material or pharmacological substances. The  
wall of the tubing may have one or more small or  
miniature openings so that such pharmacological  
15 substances can be dispensed. Each such opening could be  
from about 0.1 to 1 mm in actual or effective diameter.

The stents useful according to the invention can  
have shapes and sizes that will vary according to  
application. For example, for urological or  
20 cardiovascular use the tubular wire could have an o.d. of  
from about 0.3 to 1 mm, preferably about 0.4 to 0.6 mm,  
and an i.d. of from about 0.2 to 0.8 mm, preferably from  
about 0.4 to 0.5 mm. The outside diameter of the coiled  
stents could be from about 0.25 to 2.5 cm, with a coiled  
25 length of from about 10 to  
80 mm. Stent preparation procedures are well known and  
are, for example, described in one or more of the above  
patents incorporated herein by reference.

The preceding specific embodiments are illustrative  
30 of the practice of the invention. It is to be  
understood, however, that other expedients known to those  
skilled in the art or disclosed herein, may be employed  
without departing from the spirit of the invention or the  
scope of the appended claims.

WE CLAIM:

1. An implantable medical device comprised of tubular wire.
2. The device of Claim 1, wherein the device is substantially cylindrical in shape.
3. The device of Claim 2, wherein the diameter of the device is reduced when the device is stressed and the diameter of the device returns to its original diameter when the stress is released.
4. The device of Claim 1, which is a stent, graft, or filter.
5. The device of Claim 1, wherein the wire comprises stainless steel or an alloy.
6. The device of Claim 5, wherein the alloy is a shape-memory alloy.
7. The device of Claim 6, wherein the shape-memory alloy is nitinol.
8. The device of Claim 1, wherein the cross-section of the tubular wire is circular, rectangular or square.
9. The device of Claim 1, wherein the device is a stent or graft formed from spirally-wound, tubular wire.
10. The device of Claim 9, wherein the device is a stent that is capable of being stressed prior to implantation to reduce the radius of curvature of the wire to put the stent in a restrained condition.
11. The device of Claim 1, wherein the tubular wire may contain one or more materials or fluids that improve the mechanical properties of the device.

12. The device of Claim 1, wherein the tubular wire may contain a pharmacological agent inside the lumen of the wire or on the outer surface of the wire.

13. The device of Claim 12, wherein the tubular wire has one or more openings to release the pharmacological agent.

14. The device of Claim 12, wherein the tubular wire has a multitude of miniature openings to permit slow diffusion of the pharmacological agent out of the lumen.

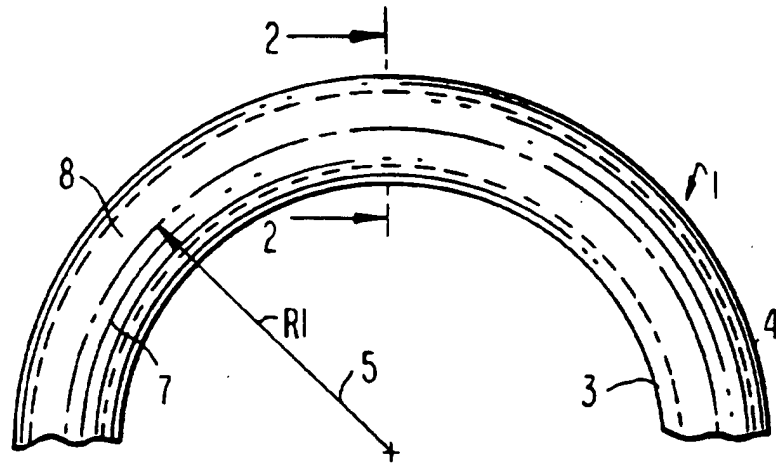
15. The device of Claim 1, wherein the tubular wire is partly or wholly radiopaque.

16. The device of Claim 1, wherein the tubular wire may contain radiopaque filler.

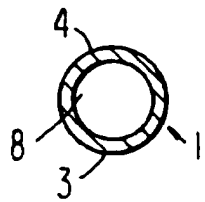
17. An implantable medical device comprised of hollow tubular wire, wherein the tubular wire has a hook or ball at each end.

1/3

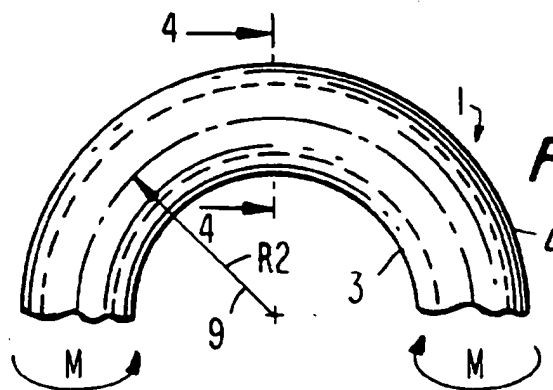
**FIG. 1**



**FIG. 2**



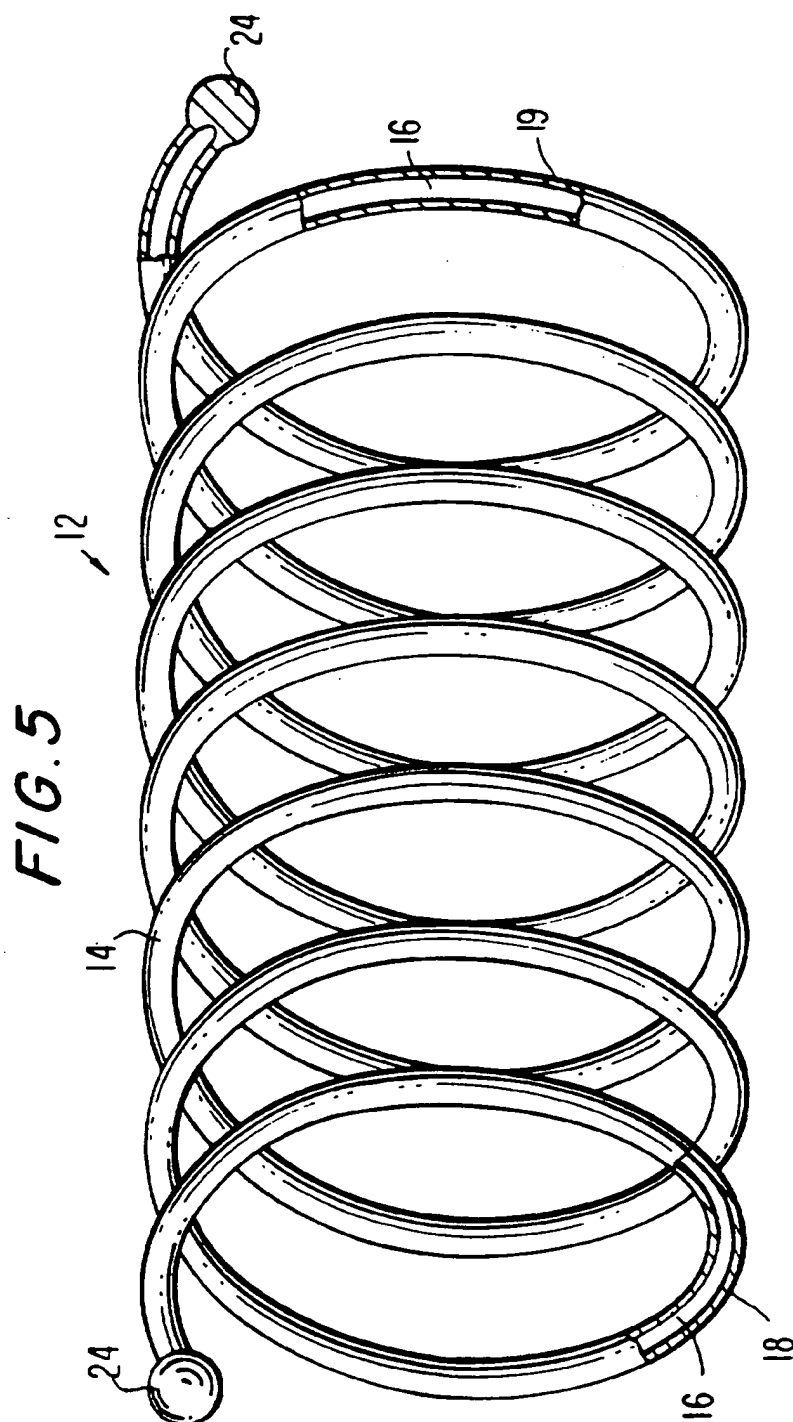
**FIG. 3**



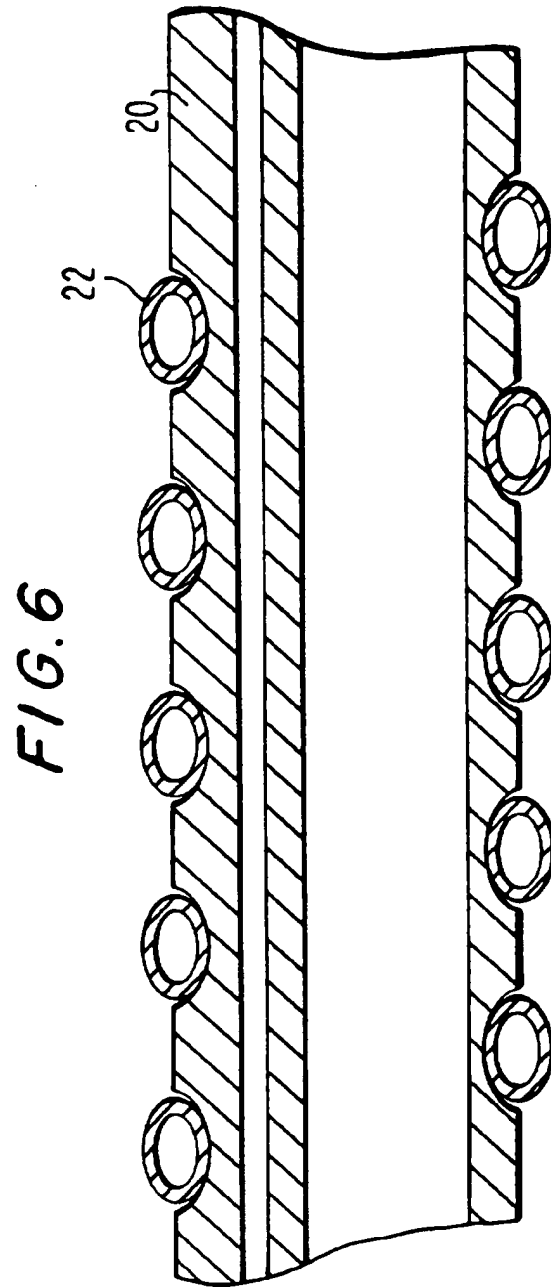
**FIG. 4**



213



3 / 3



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/02262

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61B 29/00

US CL :606/198

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/198

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US, A, 4,813,925 (ANDERSON, JR. ET AL.) 21 March 1989, see Figs. 2 and 4, and column 4 lines 51-57.	1-4, 8-13, 15, 16 ----- 5-7
X	US, A, 4,307,723 (FINNEY) 29 December 1981, see Fig.1.	1, 4, 11-15, 17
Y	US, A, 4,503,569 (DOTTER) 12 March 1985, see column 3, lines 17-21.	5-7

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"G" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

14 MAY 1996

Date of mailing of the international search report

12 JUN 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

FOR  
KEVIN TRUONG

Telephone No. (703) 308-3767